

Accreditation Review Commission on Education for the Physician Assistant, Inc.

ARC-PA Policies_®

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Table of Contents

1.		Category: Scope	4
	1.1	Scope of the Commission	4
	1.2	Geographic Scope of Accreditation	5
2.		Category: Commission Composition, Selection, Election	6
	2.1	Commission Composition and Selection	6
	2.2	Election of Commissioners	
	2.3	Commissioner Responsibility and Duty of Care ²	8
3.		Category: Commissioner Qualifications	9
	3.1	Physician Commissioner Qualification	9
	3.2	Dean Commissioner Qualification	10
	3.3	Public Commissioner Qualification	11
	3.4	AAPA, PAEA nominated Commissioner Qualification	12
	3.5	At-Large Commissioner Qualification	13
4.		Category: Committees	14
	4.1	Executive Committee	14
	4.2	Finance Committee	15
	4.3	Standards Committee	16
	4.4	Nominating Committee	17
	4.5	Bylaws Committee	18
	4.6	Equity and Justice Committee	19
5.		Category: Internal Operations	20
	5.1	Confidential Documents and Information	20
	5.2	Record Retention	22
	5.3	Conflict of Interest	24
	5.4	Continuous Quality Improvement	26
	5.5	Fair Practices in Educational Accreditation	27
	5.6	Fair Business Practices	28
	5.7	ARC-PA Research Policy	29
	5.8	Spokesperson for the ARC-PA	30
	5.9	Use of ARC-PA Logo by Programs and Sponsoring Institutions	31
	5.10	ARC-PA Whistleblower Policy	32
	5.11	Consultation	33
6.		Category: Concerns	34
	6.1	Concerns about Program Compliance with Policies and/or Standards	
	6.2	Concerns about the ARC-PA	
	6.3	Concerns about a Site Visit	37
7.		Category: Finances	38
	7.1	Fiscal Year	38
	7.2	Site Visitor Expenses	39
	7.3	Fees	40

	7.4	Failure to pay fees	41
8.		Category: Education Standards	42
	8.1	Accreditation Standards Criteria	42
	8.2	Accreditation Standards Review Cycle	43
9.		Category: Accreditation Related	44
	9.1	Program Accreditation and History of predecessor agencies that accredited PA programs	44
	9.2	Accreditation Status	
	9.3	Accreditation Decision Process	
	9.4	Documents of record upon which an Accreditation Action is determined	
	9.5	Effective Date of any commission accreditation action	
	9.6	Effective Date of Voluntary Withdrawal or Closure	
	9.7	Deferral of Accreditation Action	
10.		Category: Accreditation Status/Standing	53
	10.1	Terminology used by the ARC-PA to convey accreditation related activity of the	
		Commission	53
	10.2	Warning Letter	
	10.3	Notification of an Accreditation Action	
	10.4	Public Notification of Program Accreditation Status by ARC-PA	57
	10.5	Public Notification of Accreditation Status to be by Accredited and Proposed	
		Programs	
	10.6	Disclosure of Probationary Status by ARC-PA	
	10.7	Student Notification of an Adverse Action	
	10.8	Accreditation Actions Subject to Appeal and Appeal Procedures	
	10.9	Modification of Commission Action	
	10.10	Expedited Review	72
11.		Category: Program Specific	74
	11.1	Eligibility for expansion to a distant campus	74
	11.2	Eligibility for submission of application for increase in class size	
	11.3	Program Self-Assessment	76
	11.4	Required Reports	77
	11.5	Noncompliance with Accreditation Actions or Procedures	78
	11.6	Curriculum Teach Out	79
	11.7	Transfer of Sponsorship	80
	11.8	Voluntary Inactive Status	81
	11.9	Delinquency of Payment of Fees Assessed to Programs	82
12.		Category: Site Visit	83
	12.1	Applications, Types of Site Visits and Related Processes	83
	12.2	Site Visit Team Selection	
	12.3	Site Visit Process, Virtual vs In-Person Site Visits	87
	12.4	Survey Response PoliAcknowledgement	
	12.5	Site Visit Report Structure	
	12.6	Program Response to Observations	90

1. Category: Scope

Initially Adopted: 01.01.2001 Review/Revision History: 03.9.2007

Cross-referenced to: Bylaws Article 1 Section 1.2

Page 1 of 1

1.1 Scope of the Commission

The ARC-PA:

Is the recognized accrediting agency for Physician Assistant education leading to the professional credential (PA) and provides accreditation services to institutions that sponsor PA programs

Provides accreditation services to programs sponsored by institutions offering clinical postgraduate education programming

The Council for Higher Education Accreditation (CHEA) recognized scope of accreditation is as follows:

"Programs preparing individuals for physician assistant practice located in institutions in the United States that are accredited by recognized regional accrediting bodies." (2.14.14)

The CHEA scope does not cover the accreditation of clinical postgraduate PA programs.

Category: Scope

Initially Adopted: 01.01.2001

Review/Revision History: 09.2006, 03.2008, 6.25.2021

Cross-referenced to:

Page 1 of 1

1.2 Geographic Scope of Accreditation

The ARC-PA only accredits qualified PA programs offered by, or located within, institutions chartered by, and physically located within, the United States¹, and where students are geographically located within the United States for their education. A program may satisfy the requirement of supervised clinical practice experiences through medical facilities located in the United States and through a limited number of medical facilities that are accredited by the United States Joint Commission and operated by the American government under a signed Status of Forces Agreement with the host nation.

The ARC-PA does not accredit educational programs leading to the PA credential in institutions that are chartered outside the United States or programs provided in foreign countries by ARC-PA accredited U.S. PA programs.

¹ The 50 States, the District of Columbia, the Commonwealth of Puerto Rico, the Commonwealth of the Northern Mariana Islands, Guam, the Virgin Islands, American Samoa, Wake Island, the Midway Islands, Kingman Reef, and Johnston Island.

2. Category: Commission Composition, Selection, Election

Initially Adopted: 01.01.2001

Review/Revision History: 09.09.2004, 6.25.2021 Cross-referenced to: Bylaws Sections 4.2(b), (c)

Page 1 of 1

2.1 Commission Composition and Selection

The bylaws of the Accreditation Review Commission on Education for the Physician Assistant require the ARC-PA commissioners to elect new commissioners from a list nominated by its collaborating organizations and the public commissioners nominated by the ARC-PA nominating committee.

The ARC-PA Executive Director notifies each collaborating organization in advance of the need to submit nominations for commissioners to the ARC-PA, and of the need to re-nominate a currently seated commissioner.

Commissioner election and terms of service shall be consistent with the bylaws of the Commission. An individual will not be eligible for election to or service on the commission if they:

- Have a real or perceived conflict of interest with a currently sitting commissioner or with the actions, mission or values of the ARC-PA
- Currently serve on the board of directors of any of the collaborating organizations
- Are employed by a collaborating organization

Category: Commission Composition, Selection, Election

Initially Adopted: 01.01.2001, 09.09.2004

Review/Revision History:

Cross-referenced to: Bylaws Sections 4.2(c); 4.3; Nomination Committee Charges/Responsibilities

Page 1 of 1

2.2 Election of Commissioners

Commissioner election and terms of service shall be consistent with the bylaws of the Commission.

The nominating committee shall prepare ballots in accordance with its defined responsibilities for vote by the full commission.

A simple majority of the commissioners voting determines the election of a new commissioner.

Category: Commission Composition, Selection, Election

Initially Adopted: 03.05.2011

Review/Revision History: 09.06.2013 Cross-referenced to: Bylaws Section 4.1

Page 1 of 1

2.3 Commissioner Responsibility and Duty of Care²

Each commissioner has a fiduciary responsibility to the ARC-PA. During the course of conducting business of the ARC-PA, each commissioner should act in the ARC-PA's best interests in accord with the mission and philosophy of the ARC-PA.

Each commissioner is expected to follow all commission rules including:

- Conflict of Interest
- Abstentions during the course of voting
- Confidentiality
- Meeting attendance
- Assignments

² The duty of care stands for the principle that directors and officers of a corporation in making all decisions in their capacities as corporate fiduciaries, must act in the same manner as a reasonably prudent person in their position would.

Initially Adopted: 03.05.2011

Review/Revision History: 6.25.2021

Cross-referenced to: Commissioner Responsibilities Document

Page 1 of 1

3.1 Physician Commissioner Qualification

The ARC-PA seeks to elect physicians, nominated by the physician collaborating organizations of the ARC-PA, who should be familiar with Physician Assistants in a work setting and, ideally, teach in a program (didactical or clinical) or who currently serve as a PA program medical director. If a physician is not in PA education, a background in program accreditation (Joint Commission, allied health, residency, etc.) would give additional understanding of the work of the Commission.

An individual elected as an ARC-PA commissioner may not serve on the board of directors of collaborating organizations (CO) or be an employee of the collaborating organizations.

Initially Adopted: 03.05.2011

Review/Revision History: 6.25.2021

Cross-referenced to: Commissioner Responsibilities Document

Page 1 of 1

3.2 Dean Commissioner Qualification

The ARC-PA seeks to elect a self-nominated individual who is a Dean of a school of health professions, medical school, institution of higher education or degree granting health care institution which may or may not have oversight responsibility of a PA program.

The dean commissioner may not derive his or her livelihood from the Physician Assistant profession.

Initially Adopted: 03.05.2011

Review/Revision History: 6.25.2021

Cross-referenced to: Commissioner Responsibilities Document

Page 1 of 1

3.3 Public Commissioner Qualification

The ARC-PA seeks to elect self-nominated individuals to serve as a consumer advocate, representing the public interest in relation to the accreditation of physician assistant programs.

The public commissioner may not be a physician, a physician assistant or a faculty member within a PA program.

The public commissioner may not derive his or her livelihood from the Physician Assistant profession. Those working in educational administration, health-related organizations, the accreditation community and others whose backgrounds may be of value to the commission are eligible for consideration.

Initially Adopted: 03.05.2011

Review/Revision History: 6.25.2021

Cross-referenced to: Commissioner Responsibilities Document

Page 1 of 1

3.4 AAPA, PAEA nominated Commissioner Qualification

The ARC-PA seeks to elect physician assistants, educators, physicians or administrators, nominated by the physician assistant (AAPA, PAEA) collaborating organizations of the ARC-PA, ideally currently serving as a program director, medical director or, teaching in a program (didactic or clinical) or who are clinically practicing Physician Assistants. Familiarity with PA program education or accreditation experience, while beneficial, is not a requirement for consideration.

An individual who has been elected as an ARC-PA commissioner may not serve on the board of directors of collaborating organizations (CO) or be an employee of the collaborating organizations.

Initially Adopted: 12.31.2011 Review/Revision History: 6.25.2021

Cross-referenced to: Commissioner Responsibilities Document

Page 1 of 1

3.5 At-Large Commissioner Qualification

At-large commissioners may include:

- Former commissioners retired from the Commission for at least 1 year before being eligible for election. Term of service is limited to a three-year term.
- Current ARC-PA site visitors. Term of service is three years with eligibility for a second three-year term.

At-large commissioners hold the same rights and responsibilities as the other commissioners.

4. Category: Committees

Initially Adopted: 03.11.2005

Review/Revision History: 03.05.2011; 09.08.2012

Cross-referenced to: Bylaws Article 6 Section 6.3(a); Executive Committee Charges/Responsibilities

Page 1 of 1

4.1 Executive Committee

The executive committee meets as often as it deems necessary to carry out its responsibilities. Its responsibilities include the following as authorized by the Delegation of Authority (Bylaws, Section 9.2):

- Conducting the ongoing strategic planning process of ARC-PA
- Conducting the performance evaluation of the Executive Director and developing his/her contract
- Addressing timely issues that arise between meetings of the commission
- Addressing incentive and/or compensation issues, as needed and appropriate
- Acting on behalf of the commission between meetings of the commission

Category: Committees

Initially Adopted: 03.11.2005

Review/Revision History: 03.05.2011; 09.08.2012, 6.25.2021

Cross-referenced to: Bylaws Article 6 Section 6.3(b); Committee on Finance Charges/Responsibilities

Page 1 of 1

4.2 Finance Committee

The Finance Committee meets at least once a year.

Members include the ARC-PA Treasurer and at least two other commissioners appointed by the ARC-PA Chair.

Ex-Officio members include Executive Director and ARC-PA accountant.

The duties of the Finance Committee, as authorized by the Delegation of Authority (Bylaws, Section 9.2)

Reviews/evaluates/recommends adoption of or changes to:

- The ARC-PA financial documents
- Fiscal budgets, directly with the Executive Director and staff the commission finances
- Proposals coming to the board and offer comments about financial ramifications of those proposals
- The investment performance and fiscal policies to the commission for consideration/approval
- Policy changes related to fiscal affairs for consideration by the commission

Category: Committees

Initially Adopted: 01.01.2001

Review/Revision History: 03.05.2011; 09.08.2012, 6.25.2021

Cross-referenced to: Bylaws Article 6 Section 6.3(c); Standards Policy 8.2, Review of Standards

Committee Charges/Responsibilities

Page 1 of 1

4.3 Standards Committee

The committee for review of standards meets as necessary to fulfill its obligations.

Members include the ARC-PA Vice-Chair and at least two other commissioners appointed by the ARC-PA Chair. Ex-Officio members include the Executive Director and Associate Executive Director.

The duties of the *Standards* Committee, as authorized by the Delegation of Authority (Bylaws, Section 9.2) are as follows:

- Conducts the periodic formal, comprehensive review of ARC-PA's *Standards*, recommending timely revisions as necessary
- Provides clarification of the Standards to facilitate compliance
- Develops policies that are related to the Standards for consideration by the Commission

Category: Committees
Initially Adopted: 6.25.2021
Review/Revision History: 3.4.2023

Cross-referenced to:

Page 1 of 1

4.4 Nominating Committee

The Nominating Committee shall be composed of 4-6 Commissioners who shall be appointed by the Commission upon the recommendation of the Executive Committee. Members will include at least one public member, and at least one at-large member.

All members of the Nominating Committee, other than the named officers, must have a minimum of 1-year prior service as Commissioner.

The chair of the Nominating Committee shall be the immediate Past Chair of the ARC-PA. If there is no past chair, the Executive Committee shall designate a chair of the Nominating Committee.

The duties of the Nominating Committee are to:

- Nominate a qualified slate of commissioner candidates for elected positions and prepare ballots in accordance with its defined responsibilities for vote by the full commission.
- Nominate officers to fill single or multiple openings with a slate of nominees to be announced
 for the upcoming election. The nominating Committee will solicit candidate(s) to be at a
 minimum of 30 calendar days prior to the election. The panel of nominees are to be presented
 to the Commission with at least one nominee for each open office. These nominations will be
 communicated to commissioners at latest 14 days before the election. Additional nominations
 may be made by any commissioner prior to the vote.

Category: Committees
Initially Adopted: 3.4.2023
Review/Revision History:
Cross-referenced to:

4.5. Bylaws Committee

The Bylaws Committee shall be composed of 2-3 Commissioners who shall be appointed by the Commission upon the recommendation of the Executive Committee.

All members of the Bylaws Committee, other than the named officers, must have a minimum of 1-year prior service as Commissioner.

The chair of the Bylaws Committee shall be the Secretary of the ARC-PA. If there is no Secretary, the Executive Committee shall designate a chair of the Bylaws Committee. Ex-officio members include the ARC-PA executive director and associate executive director.

The duties of the Bylaws Committee, as authorized by the Delegation of Authority (Bylaws, Section 9.2) are as follows:

- Review bylaws, policies and procedures
- Review bylaws and policies suggested by committees of the ARC-PA or any changes brought forward by a commissioner or staff of the ARC-PA.
- Draft and/or consider amendments to bylaws, policies and procedures
- Bring changes in the bylaws or policies to the commission for consideration and a vote, if appropriate

Category: Committees
Initially Adopted: 9.24.2022
Review/Revision History:
Cross-referenced to:

4. 6. Equity and Justice Committee

The Equity and Justice Committee shall be composed of a minimum of 4 Commissioners who shall be appointed by the Commission upon the recommendation of the Executive Committee. The chair of the Equity and Justice Committee shall be a commissioner who is nominated by the Executive Committee and elected by the commission. It is also permissible, for any term of service, the committee to have two Co-Chairs, who shall be commissioners who are nominated by the Executive Committee and elected by the commission. Ex-officio members include the ARC-PA executive director and associate executive director.

The duties of the Equity and Justice Committee, as authorized by the Delegation of Authority (Bylaws, Section 9.2) are as follows:

- Review bylaws, standards, policies and procedures
- Bring information and changes to the commission for consideration and a vote, if appropriate on justice, equity, diversity, inclusion, and belonging matters.

5. Category: Internal Operations

Initially Adopted: 01.01.2001

Review/Revision History: 03.05.2011, 6.25.2021

Cross-referenced to:

Page 1 of 2

5.1 Confidential Documents and Information

The ARC-PA is dedicated to maintaining confidentiality of information and documents that have been shared with the commission. The ARC-PA also recognizes that certain information and documents acquired during the accreditation process may need to be shared with others.

Confidential Documents/Information

The following documents and information must not be copied, discussed, published or otherwise disclosed, in whole or in part, except as required for ARC-PA accreditation procedures, with the consent of the affected PA program, or as required by law:

- Program accreditation files
- Program completed accreditation materials
- Completed site visit reports
- ARC-PA required reports submitted by programs
- Correspondence related to the accreditation decisions/process between the ARC-PA, programs and site visitors
- Minutes of regular or special meetings of the ARC-PA
- Information and correspondence relating to concerns or complaints about program quality
- Information and correspondence relating to requests for reconsideration of an adverse accreditation action
- Information and correspondence relating to any appeal of an adverse accreditation action
- Blank and completed site visit worksheets and related materials
- Documents associated with the business of the commission not otherwise approved for distribution to the public or the collaborating organizations of the ARC-PA. Examples of confidential documents include but are not limited to, financial reports, legal documents, business plan etc.
- Confidentiality of the whistleblower related documents
- Financial Records
- Personnel Records
- Inter-organizational Documents
- Electronic documents or passwords
- Concern Documents

Review/Revision History: 03.05.2011, 6.25.2021

Cross-referenced to:

Page 2 of 2

- Any other documents deemed confidential
- Any information or document used for purposes of quality control or research must be deidentified prior to use

Any ARC-PA document or information not specifically addressed by this policy should be considered confidential. Any questions about the confidentiality of any documents should be referred to the ARC-PA Executive Director.

The Executive Director in discussion with the chair shall have the authority to deem certain documents and pieces of information as public.

Category: Internal Operations Initially Adopted: 03.12.2004 Review/Revision History: 6.25.2021

Cross-referenced to: Bylaws Article 9; Confidential Documents and Information

Page 1 of 2

5.2 Record Retention

In accordance with the Bylaws, the ARC-PA maintains accurate and complete records of account, minutes of commission proceedings and committee meetings, and the names and addresses of its commissioners and committee members.

The handling of documents will be in accordance with the ARC-PA Confidential Documents and Information.

Description of record(s)	Manner of record keeping	Disposition			
I. Corporate/ organizational records					
Incorporation documents including articles of incorporation, bylaws, and related documents, most recent ASPA and CHEA applications	Store in corporate record book. Copy with Legal Counsel	<u>Permanent</u>			
Tax-exemption documents including application for tax exemption (IRS Form 1023), IRS determination letter, and any related documents	Store in corporate record book. Copy with Legal Counsel	<u>Permanent</u>			
Meeting/board documents including agendas, minutes and related documents	Compile & file records on yearly basis; Store in corporate record book	Permanent. Care is taken to include only necessary information in these documents.			
Director and Officer Liability Insurance and Contracts	Store in corporate record book	<u>Permanent</u>			
Commissioner and Site Visitor signed Conflict of Interest, Confidentiality of Documents and Information, Work for Hire documents	Store in corporate record book	Three Years. Store w/corporate records. Destroy three years after completion of commissioner's term.			
II. Financial records					
Year-end Treasurer's financial report/statement audit report	Store in corporate record book. Copy with Legal Counsel	<u>Permanent</u>			
Treasurer's reports, periodic	Compile & file records on yearly basis	Three Years. Store w/financial records. Destroy after three years.			

Category: Internal Operations Initially Adopted: 03.12.2004 Review/Revision History: 6.25.2021

Cross-referenced to: Bylaws Article 9; Confidential Documents and Information

Page 2 of 2

Description of record(s)	Manner of record keeping	Disposition			
II. Financial records (continued)					
Bank statements, canceled checks, check registers, investment statements, and related documents	Compile & file records on a yearly basis. Currently stored by ARC-PA Fiscal Agent	Seven Years. Store w/financial records. Destroy after seven years			
Annual information returns (IRS Forms 990)	Federal law requires that the three most recent years returns be kept in the organization's headquarters office and be made available for public inspection upon request. Copy with Legal Counsel	Seven Years. Store w/financial records. Destroy after seven years.			
III. Program Records/Files	III. Program Records/Files				
Program file, Correspondence related to the accreditation decisions/process between the ARC-PA programs	Paper or electronic	Five years after accreditation decision final			
Program completed accreditation materials, self-study reports; required reports submitted by programs, completed site visit reports	Paper or electronic	Most recent two versions, previous versions to be destroyed			
Information and correspondence relating to concerns about program quality	Paper or electronic	Five years.			
Information and correspondence relating to requests for reconsideration of an adverse accreditation action.	Paper or electronic	Five years after appeal decision is final			
Information and correspondence relating to any appeal of an adverse accreditation action					

Review/Revision History: 09.14.2002, 08.29.03, 03.05.2011, 6.25.2021

Cross-referenced to: 3.0 Category: Commissioner Qualifications

Page 1 of 2

5.3 Conflict of Interest

The accreditation process is an evaluative one, not a consultative one. ARC-PA representatives, by virtue of their education, experience and position, are presented with opportunities to provide factual information and to offer professional and/or technical advice or opinion to faculty, staff, administrators, students, and other parties involved in the planning, development and operation of PA educational programs. ARC-PA representatives must take precautions to ensure that they do not serve in a consultative role, thus creating a potential conflict of interest.

In order to avoid actual conflicts of interest, or even the appearance of such conflicts, the following policy has been adopted for ARC-PA commissioners, staff and site visit team members (collectively, ARC-PA representatives).

ARC-PA representatives:

- Shall not participate in consultative activities related to PA program accreditation to any
 program subject to ARC-PA accreditation, even if not for personal gain, outside the context of
 fulfilling usual commission or staff responsibilities. Such activities shall not be conducted while a
 representative of the ARC-PA or for one year thereafter.
- Shall not participate in consultative activities related to PA educational program accreditation
 that might be construed to be part of the accreditation process. Such activities shall not be
 conducted while a representative of the ARC-PA or for one year thereafter.
- 3. Shall not participate in a site visit, in deliberations concerning accreditation actions at a regular or special meeting, as part of an appeal, or in a vote regarding any of the following:
 - a) A program with which the ARC-PA representative or an immediate family member is or recently has been connected as a student, faculty member, administrative officer, staff member or agent.
 - b) A program located in the same sponsoring institution as the program of the ARC-PA representative or an immediate family member.
 - c) A program which has substantial cooperative, competitive or contractual relationship with the program of the ARC-PA representative or an immediate family member.
 - d) A program which has engaged the ARC-PA representative or an immediate family member to act as a consultant on behalf of the program within the past three years.
 - e) A program in which the ARC-PA representative or an immediate family member has any financial, political, professional or any other interest that may conflict with the interests of the ARC-PA.

Review/Revision History: 09.14.2002, 08.29.03, 03.05.2011, 06.25.2022

Cross-referenced to: 3.0 Category: Commissioner Qualifications

Page 2 of 2

- 4. May not act for or on behalf of the ARC-PA without authorization by the Executive Director or Chair of the ARC-PA. This does not preclude representatives of the ARC-PA from reporting non-confidential information regarding ARC-PA activities to appropriate collaborating organizations.
- 5. Will not accept personal compensation for ARC-PA related speaking assignments or other activities, except for reimbursement for reasonable related expenses.
- 6. Shall disclose real, perceived or potential conflicts of interest as appropriate or when requested.

Definitions:

ARC-PA representative: an ARC-PA commissioner, staff member or site team member

Immediate family member: a spouse, life partner, child, parent or sibling of an ARC-PA representative

<u>Consultation</u>: the provision of advice to another PA program on such matters as program development or evaluation, organizational structure or design, and institutional management or financing. This term is not meant to exclude the provision of short-term educational service, e.g., guest lecturer.

ARC-PA Discretion:

When a question arises in the mind of an ARC-PA representative regarding a potential conflict of interest, the question shall be brought to the ARC-PA Executive Director who, in discussion with the chair, will make a final determination.

Whenever in ARC-PA guidelines or policies, a term is not expressly defined, the definition of such term and its potential for creating a conflict of interest shall be at the sole discretion of the ARC-PA Executive Director in discussion with the chair.

Recusal Policy: Any Commissioner that reveals perceived or real conflicts of interest (as defined in the duties of the ARC-PA Representative) pertaining to discussion, deliberating and voting by the ARC-PA should be resolved by the commissioner leaving the room while the entire agenda item is discussed and acted upon. The Chair will make a final decision by asking a commissioner to be recused.

Review/Revision History: 03.05.2011, 06.25.2021

Cross-referenced to:

Page 1 of 1

5.4 Continuous Quality Improvement

The ARC-PA evaluates its policies and procedures for the purpose of continuous quality improvement.

The ARC-PA objectively evaluates the effectiveness of the accreditation process through both systematic monitoring and focused studies that assess quality of both accreditation process and outcome.

In all quality improvement actions, the ARC-PA is dedicated to maintaining confidentiality of educational institutions and PA programs.

Accreditation decisions are based on compliance with the Standards and in accordance with ARC-PA policies and procedures.

The ARC-PA uses the following methodologies of quality improvements including, but not limited to:

- self-evaluation
- self-study and analysis
- periodic review of the accreditation decision making process
- review of information gathered from programs
- focused studies of important aspects of accreditation
- providing feedback to the site visit team

When opportunity for improvement is identified by quality improvement activity, the ARC-PA develops corrective action plans and the implementation of those plans are monitored for resultant changes.

Category: Internal Operations Initially Adopted: 01/01/01 Review/Revision History: Cross-referenced to: Page 1 of 1

5.5 Fair Practices in Educational Accreditation

The ARC-PA complies with fair practice standards in educational accreditation. The commission endorses and adheres to the Association of Specialized and Professional Accreditors (ASPA) Member Code of Good Practice.

Category: Internal Operations Initially Adopted: 01/01/01 Review/Revision History: Cross-referenced to:

Page 1 of 1

5.6 Fair Business Practices

The ARC-PA complies with principles of fair business practices.

The ARC-PA policy and business practices are designed to reasonably assure timely, equitable and fair treatment of the institutions and individuals it serves.

Review/Revision History: 6.25.2021, 9.24.2022 Cross-referenced to: Research Procedure

Page 1 of 1

5.7 ARC-PA Research Policy

The purpose of this research policy is to guide the development, conduct, and publication of research associated with ARC-PA accreditation activities.

Definition of Research:

For the purpose of this policy, research is defined as a systematic investigation for the accumulation of scientific knowledge. This policy is in effect whenever ARC-PA resources are used for such an investigation.

Eligibility:

Only those authorized by resolution of the commission may have access to ARC-PA resources in the conduct of research. Such research shall be conducted consistent with the mission, philosophy and goals of the ARC- PA.

ARC-PA reserves the right to set priorities among areas of research interest.

Review/Revision History: 03.05.2011

Cross-referenced to:

Page 1 of 1

5.8 Spokesperson for the ARC-PA

The Executive Director is the official spokesperson for the organization.

Category: Internal Operations Initially Adopted: 09.07.2007 Review/Revision History: 6.25.2021

Cross-referenced to:

Page 1 of 1

5.9 Use of ARC-PA Logo by Programs and Sponsoring Institutions

The ARC-PA logo is the exclusive property of ARC-PA. The ARC-PA allows accredited programs and their sponsoring institutions to use the ARC-PA logo in publications and displays. All other entities must obtain permission from the ARC-PA prior to use of the logo. All users must adhere to the following:

- The logo may be used only in immediate conjunction with the phrase, "Accredited by the Accreditation Review Commission on Education for the Physician Assistant."
- The logo must be used in its entirety and not modified except that it may be reduced or enlarged to suit the use. The scale of the elements must be retained.
- The logo may be reproduced only in black and white.
- The logo may NOT be used by programs that have applied for, but not yet received accreditation.
- When programs that are not accredited by the ARC-PA are included in the publication and/or displays then accompanying text must be included clarifying which programs are ARC-PA accredited.
- Use of the logo shall be subject at all times to revocation and withdrawal by ARC-PA when, in its sole judgment, its continued use would not serve the best interests of ARC-PA or the public.
- Use of the ARC-PA logo must be immediately discontinued by any program whose accreditation is withdrawn.

Review/Revision History: 6.25.2021

Cross-referenced to: Policy 5.1 Confidential Documents and Information

Page 1 of 1

5.10 ARC-PA Whistleblower Policy

Reporting Responsibility:

It is the responsibility of all officers, commissioners, representatives/designees and employees to comply with high standards of business and personal ethics and to report violations or suspected violations of law, regulations and policies or any material accounting or auditing matter.

Acting in Good Faith:

Anyone filing a complaint pursuant to the whistleblower policy must be acting in good faith and have reasonable grounds for believing the matter raised may constitute a violation of law, regulations, policies or a material accounting or auditing matter.

Confidentiality:

Concerns of violations or suspected violations may be submitted on a confidential basis by the complainant or may be submitted anonymously. Such concerns will be kept confidential to the extent possible, consistent with the need to conduct an adequate investigation, to inform the ARC-PA Treasurer of material accounting or auditing practices and to respond to any law enforcement or regulatory authority, as required by law.

No Retaliation:

The ARC-PA shall not take any action against any individual for reporting in good faith any suspected violation of law, regulations, or policy.

Category: Internal Operations
Initially Adopted: 03.05.2011
Review/Revision History:
Cross-referenced to:5.3 Conflict of Interest
Page 1 of 1

5.11 Consultation

The ARC-PA does not:

- Provide consultation services but is available to answer questions regarding accreditation processes and procedures
- Maintain a list of individual consultants and does not provide recommendations of any individuals

6. Category: Concerns

Initially Adopted: 01.01.2001

Review/Revision History: 03.05.201, 09.10.11, 03.08.13, 6.25.2021 Cross-referenced to: Policy 5.1 Confidential Documents and Information

Page 1 of 2

6.1 Concerns about Program Compliance with Policies and/or Standards

The ARC-PA will investigate, according to its procedures, concerns regarding PA programs only if the concern contains facts or allegations that, if substantiated, may indicate that the program is not following ARC-PA policies or does not comply with accreditation *Standards*.

The ARC-PA will only consider concerns submitted in writing and signed. The ARC-PA procedures provide programs with an opportunity to respond to the nature of the concern.

These procedures also protect the confidentiality of individuals, information and results of the investigation of concerns. The ARC-PA may share the complaint after obtaining consent of the complainant.

The ARC-PA will not take any action based on an anonymous concern. The ARC-PA will not intervene on behalf of an individual concerned about program or institutional issues unrelated to the Standards, will not serve to mediate or determine the results of disputes between program applicants, students or faculty and the PA program or institution.

Procedure

- To receive formal consideration, all concerns shall be submitted in writing and signed. The ARC-PA will **not** take any action based on an anonymous concern. The concern should demonstrate that reasonable efforts have been made to resolve the concern, or alternatively that such efforts would be futile or unavailing.
- In consultation with the Executive Director, the Chair of the ARC-PA will determine whether a concern raises issues relating to compliance with the *Standards* or ARC-PA policy. If the Chair determines that the concern does not raise such issues, the Executive Director will notify the complainant, within 20 working days that the concern is beyond the purview of the ARC-PA.
- If the concern raises issues relating to compliance with the *Standards* or ARC- PA policy as determined by the Executive Director in consultation with the Chair, the Program Director will be notified and will be provided, at the discretion of the ARC-PA, either with a summary of the allegations or with the actual complaint. The Program Director will be requested to respond in writing within 30 days. The Program Director also may be requested to answer specific questions or provide other information, documentation, or materials.
- The complainant will be informed that an ARC-PA investigation has been initiated, but the
 result(s) of any ARC-PA investigation will be treated as confidential and will be entered into the
 Program's confidential accreditation file.

Category: Concerns

Initially Adopted: 01.01.2001

Review/Revision History: 03.05.201, 09.10.11, 03.08.13, 6.25.2021 Cross-referenced to: Policy 5.1 Confidential Documents and Information

Page 2 of 2

- If the Chair of the ARC-PA determines that a concern raises issues relating to compliance with the *Standards* or ARC-PA policy, the ARC-PA will conduct an investigation of the concern. The investigation will typically be conducted by one or two members of the ARC-PA, appointed by the Chair. The ARC-PA may request further information or material from the complainant party, the institution, or other relevant sources. The findings of the investigation, which may or may not include recommendations for action, will be presented at the next regularly scheduled ARC-PA Commission meeting occurring after completion of the investigation. If the investigation has not been completed within 60 days after receipt of the complaint, the ARC-PA may require interim reports.
- Concerns received in such a manner that they cannot be considered at a regular ARC-PA
 Commission meeting may be handled by presentation to the Executive Committee or via
 conference call meeting, and vote if action is required, of the entire commission, at the
 discretion of the ARC-PA chair.
- If the ARC-PA, in its sole discretion, determines that there is insufficient evidence to indicate that the program is not in compliance with the *Standards* or ARC-PA policy, it will close the matter and report the same in a timely manner to the Program Director.
- If the ARC-PA, in its sole discretion, determines that sufficient evidence exists to indicate that the program may not be in compliance with the *Standards*, it may request additional information or a required report, or schedule a limited site visit to further investigate the matter. The cost of such a visit, if needed, will be borne by the program. The Program's accreditation status may be affected by the results of the evidence. The Program Director will be notified in a timely manner of the ARC- PA's action. The complainant also will be notified of the results of the investigation.

Category: Concerns

Initially Adopted: 01.01.2001

Review/Revision History: 09.2004; 03.05.2001, 6.25.2021

Cross-referenced to: Policy 5.1 Confidential Documents and Information

Page 1 of 1

6.2 Concerns about the ARC-PA

Concerns about the ARC-PA relating to accreditation standards, accreditation criteria, or procedures must be submitted in writing and signed. Anonymous concerns will not be accepted. Concerns will be reviewed by the Executive Director and their written comments and the original complaint will be referred to the Chair and legal counsel for further investigation, action or disposition. The complainant will be apprised of the status of the complaint throughout the process.

Concerns about the ARC-PA Executive Director must be submitted in writing to the Chair and must be signed. Anonymous concerns will not be accepted. Concerns will be reviewed by the Chair and legal counsel for further investigation. The Executive Director will be given an opportunity to respond. "Any final action or disposition shall be determined by the commission.

Concerns about the ARC-PA staff must be submitted to the Executive Director in writing and must be signed. Anonymous concerns will not be accepted. Concerns will be reviewed by the Executive Director (who may consult with legal counsel) and discussed with the individual(s) in question. Prior to final resolution the Executive Director may report to the Chair the details of the complaint, investigation and proposed resolution.

Category: Concerns

Initially Adopted: 01.01.2001

Review/Revision History: 03.05.2011, 6.25.2021

Cross-referenced to: 5.1 Confidential Documents and Information

Page 1 of 1

6.3 Concerns about a Site Visit

If a program has complaint(s) about the ARC-PA relating to the conduct of a site visit team or any of its members, such concerns should be shared with the Executive Director by the program director. Anonymous concerns will not be accepted.

Such concerns will be reviewed by ARC-PA and may be discussed with the site visitor/s.

The ARC-PA shall take whatever remedial action that the Executive Committee deems appropriate.

7. Category: Finances

Initially Adopted: 01.01.2001 Review/Revision History:

Cross-referenced to: Bylaws Article 10

Page 1 of 1

7.1 Fiscal Year

The fiscal year of the ARC-PA shall be July 1 through June 30.

Category: Finances

Initially Adopted: 01.01.2001 Review/Revision History:

Cross-referenced to: Policy 7.4 Accounting Policy; Policy 7.8 Reimbursement

Page 1 of 1

7.2 Site Visitor Expenses

Site visitors are reimbursed for expenses incurred while in service as an ARC-PA site visitor and in accordance with the commission reimbursement policy.

Site visitors receive no compensation for their services.

Category: Finances

Initially Adopted: 01.01.2001

Review/Revision History: 03.05.2011, 09.08.2012, 6.25.2021, 9.24.2022

Cross-referenced to: Policy 4.5 Committee on Finance

Page 1 of 1

7.3 Fees

The ARC-PA shall periodically set fees for the services it performs. Those fees shall include, but are not limited to, the following:

- Annual Program Fee
- Provisional Accreditation Application Fee
- Continuing Accreditation Application Fee
- Administrative Application Review Fee for Clinical Postgraduate Programs
- Distant Campus Application Fee
- Focused Visit Fee
- Cancellation of Visit Fee
- Appeal Fees
- Collaborating Organization Fee
- Late Fees

Category: Finances

Initially Adopted: 9.24.2022 Review/Revision History:

Cross-referenced to: Policy 4.5 Committee on Finance

Page 1 of 1

7.4 Failure to pay any ARC-PA fees is subject to the provisions stipulated under 11.9-Deliquency of Payment of Fees Assessed to Programs

8. Category: Education Standards

Initially Adopted: 01.01.01

Review/Revision History: 03.2002, 03.2012, 6.25.2021 Cross-referenced to: Bylaws Article 1 Section 1.2, & Article 7

Page 1 of 1

8.1 Accreditation Standards Criteria

The *Standards* reflect a determination that a commonality in the core professional curriculum of programs remains desirable and necessary to offer curricula of sufficient depth and breadth to prepare all PA graduates for practice.

The Standards allow programs to remain creative and innovative in program design and the methods of curriculum delivery and evaluation used to enable students to achieve program goals and student learning outcomes.

Mastery of learning outcomes is essential to preparing students for entry into clinical practice.

Category: Education Standards Initially Adopted: 01.01.01

Review/Revision History: 03.2002, 03.2012, 6.25.2021 Cross-referenced to: Bylaws Article 6 Section 6.3 (c)

Page 1 of 1

8.2 Accreditation Standards Review Cycle

The ARC-PA develops and revises its *Standards* for accreditation in an effort designed to assist programs in preparing PA students for entry level practice.

The *Standards* are comprehensively reviewed on a ten-year review cycle with a mid-cycle Standards Committee review at year five*.

The Standards Review Committee:

- solicits input from the key stakeholders
- reviews input and suggests changes for presentation and discussion by the commission
- finalizes a draft for distribution to and comment from key stakeholders
- reviews feedback and proposes a reconciled version for discussion and review by the commission

ARC-PA adopts and publishes a new edition of the Accreditation *Standards* for Physician Assistant Education.

The commission may make technical, grammatical and clarifying changes to the *Standards* at any time. This allows:

- currently accredited programs to actually use the current Standards before having to make changes to their processes,
- programs in the provisional pipeline to have consistent Standards to use in planning,
- gives ARC-PA staff time to update and improve forms and other documents associated with the Standards (such as application materials, portal documents),
- allows changes to wording of individual standards or annotations to occur as needed on an ongoing basis.

9. Category: Accreditation Related

Initially Adopted: 01.01.2001 Review/Revision History: 09.06.13

Cross-referenced to: Policy 1.1 Scope of the Commission

Page 1of 1

9.1 Program Accreditation and History of predecessor agencies that accredited PA programs

The accreditation process is voluntary and initiated at the request of the sponsoring institution and PA program.

The ARC-PA does not accredit any academic degree awarded by the PA program's sponsoring institution or any institution with which it collaborates to offer a degree.

An ARC-PA accredited physician assistant program is granted the authority to confer on its program graduates the professional Physician Assistant credential, PA.

History of predecessor agencies that accredited PA programs

- Before December 31, 1976, the American Medical Association Council on Medical Education
- January 1, 1977 June 30, 1994 the American Medical Association Committee on Allied Health Education and Accreditation (CAHEA)
- July 1, 1994 December 31, 2000 the Commission on Accreditation of Allied Health Education Programs (CAAHEP)

Review/Revision History: 09.08.2006; 09.2009; 03.05.2011; 09.08.2012 03.07.2013;3.07.2020,

6.25.2021, 3.3.2022

Cross-referenced to: Bylaws Article 1 Section 1.2

Page 1 of 3

9.2 Accreditation Status

The ARC-PA awards eight statuses of accreditation:

Aco	creditation - Provisional	Adverse Accreditation decisions (appealable)
Acc	creditation - Continued	Accreditation - Withheld
Acc	creditation - Administrative Probation	Accreditation - Withdrawn
Vol	luntary Inactive Status	Accreditation - Probation
Acc	creditation - Clinical Postgraduate Program	

Accreditation - Provisional

Accreditation - Provisional is an accreditation status granted when the plans and resource allocation, if fully implemented as planned, of a proposed program that has not yet enrolled students appear to demonstrate the program's ability to meet the ARC-PA Standards or when a program holding Accreditation — Provisional status appears to demonstrate continued progress in complying with the Standards as it prepares for the graduation of the first class (cohort) of students.

Accreditation - Provisional is limited to no more than five years from matriculation of the first class.

Accreditation - Provisional does not ensure any subsequent accreditation status.

Accreditation - Provisional remains in effect until the program achieves Accreditation - Continued after its third review, closes or withdraws from the accreditation process, or until accreditation is withdrawn for failure to comply with the *Standards*.

Programs applying for Accreditation - Provisional status are not eligible to begin more than one cohort/class per calendar year or include a distant campus as part of the application.

Programs that have their accreditation withheld must re-apply via the provisional accreditation pathway starting from the beginning.

Accreditation - Continued

Accreditation - Continued is an accreditation status granted when a currently accredited program is in compliance with the *Standards*, in the case of a program holding Probationary Accreditation when the program has demonstrated that it is once again in compliance with the *Standards*, or when a program holding Provisional Accreditation demonstrates compliance with the *Standards* after completion of the Accreditation - Provisional review process.

Accreditation - Continued status remains in effect until the program closes or withdraws from the

accreditation process or until accreditation is withdrawn for failure to comply with the Standards.

Category: Accreditation Related Initially Adopted: 01.01.2001

Review/Revision History: 09.08.2006; 09.2009; 03.05.2011; 09.08.2012 03.07.2013; 3.07.2020,

6.25.2021, 3.3.2022

Cross-referenced to: Bylaws Article 1 Section 1.2

Page 2 of 3

Accreditation - Probation

"Accreditation – Probation is a temporary accreditation status initially of not less than two years. However, that period may be extended by the ARC-PA for up to an additional two years if the ARC-PA finds that the program is making substantial progress toward meeting all applicable Standards but requires additional time to come into full compliance. Probation accreditation status is granted, at the sole discretion of the ARC-PA, when a program holding an accreditation status of Accreditation - Provisional or Accreditation - Continued does not, in the judgment of the ARC-PA, meet the Standards or when the capability of the program to provide an acceptable educational experience for its students is threatened. Once placed on probation, a program that fails to comply with accreditation requirements in a timely manner, as specified by the ARC-PA, may be scheduled for a focused site visit and is subject to having its accreditation withdrawn."

Accreditation - Administrative Probation

Accreditation - Administrative Probation is a temporary status granted when a program has not complied with an administrative requirement, such as failure to pay fees or submit required reports. Once placed on Administrative Probation, a program that fails to comply with administrative requirements in a timely manner, as specified by the ARC-PA, may be scheduled for a focused site visit and/or risk having its accreditation withdrawn.

Accreditation - Withheld

Accreditation - Withheld is a status granted when a physician assistant program, seeking Accreditation - Provisional, or a clinical postgraduate PA program seeking Accreditation-Clinical Postgraduate Program, is not in compliance with the *Standards*.

The program may voluntarily withdraw from the accreditation process within the 30-day appeal timeframe.

Accreditation - Withdrawn

Accreditation - Withdrawn is a status granted when an established program is determined no longer to be in compliance with the *Standards* and/or is no longer capable of providing an acceptable educational experience for its students, or when the program has failed to comply with ARC-PA accreditation requirements, actions or procedures.

The program may voluntarily withdraw from the accreditation process within the 30-day appeal timeframe.

Programs that have their accreditation withdrawn or voluntarily withdraw from the accreditation

process must wait 12 months before being eligible to apply for accreditation again.

Category: Accreditation Related Initially Adopted: 01.01.2001

Review/Revision History: 09.08.2006; 09.2009; 03.05.2011; 09.08.2012 03.07.2013; 3.07.2020,

6.25.2021, 3.3.2022

Cross-referenced to: Bylaws Article 1 Section 1.2

Page 3 of 3

Voluntary Inactive Status

Voluntary inactive status is a status that may be granted to programs that temporarily suspend instruction and cease to matriculate students but is not an eligible category if at the time of request the program is on Accreditation - Probation status.

Accreditation - Clinical Postgraduate Program

Accreditation - Clinical Postgraduate Program is a status granted when a new or currently accredited clinical postgraduate program is in compliance with the *Standards for Clinical Postgraduate Programs*.

To retain its Accreditation-Clinical Postgraduate status, the program must:

- 1. Complete the Online Portal Training Series. More information about how to access this portal training series will be sent in a separate communication, directly to the program director's email.
- Complete the Personnel tab of the ARC-PA program data portal no later than one month after completing the training, based on the date provided above.
 Complete the supervised clinical practice experiences (SCPE) tab of the ARC-PA program data portal no later than one month after completing the training.

Accreditation – Clinical Postgraduate Program remains in effect until the program closes or withdraws from the accreditation process or until accreditation is withdrawn for failure to comply with the *Standards*, ARC-PA requirements or procedures.

Review/Revision History: 09.14.2002, 3.3.2022

Cross-referenced to: Bylaws Article 4 Section 4.10, Section 4.11

Page 1 of 1

9.3 Accreditation Decision Process

Decisions on accreditation actions are made by a majority vote of ARC-PA commissioners at a regular or special meeting at which a quorum is present. Adverse actions such as probation or withdrawal of accreditation require a two-thirds vote.

Each program considered for accreditation action is assigned to one ARC-PA commissioner and one Accreditation Director as primary and secondary reviewers, respectively. These reviewers review the program's application materials as submitted to the ARC-PA (the commission), the site visitors' report and the program's response, if any and the program history. Their review of these materials is directed at the program's demonstrated compliance with the *Standards*. Each reviewer presents their recommendations to the ARC-PA based on review of the materials. Following the presentations and commission discussion, the ARC-PA votes on a motion regarding any citations, the accreditation status of the program, the next scheduled commission review, and any requirements for reports or focused visits. The Accreditation Director has no vote on the program's outcome.

Review/Revision History: 09.08.2012, 6.25.2021 Cross-referenced to: Policy 9.2 Accreditation Status

Page 1 of 1

9.4 Documents of record upon which an Accreditation Action is determined

Accreditation actions are based on information contained in the following:

The Program's application and all appendices submitted to the ARC-PA, the report of the site visit team, the program response and the program accreditation history (Accreditation Record);

The information contained in required reports submitted by the program and its accreditation history; and

Receipt of a concern/complaint alleging non-compliance with a standard(s), subsequent commission investigation and findings, and the program response to the allegation(s).

The application submitted by the program to the ARC-PA office is considered the program's application of record. It is one component of the official program record used by the commission throughout the accreditation review process. Site visitors have been instructed not to accept any new or revised application materials from the program at the time of the visit. If, during or after the visit, the site visitors suggest additional information or materials be submitted to the ARC-PA office, these materials should be sent with the program's response to observations.

Review/Revision History: 09.08.2012, 6.25.2021 Cross-referenced to: Policy 9.2 Accreditation Status

Page 1 of 1

9.5 Effective Date of any commission accreditation action

The date of the meeting at which the accreditation action occurred is the effective date unless an adverse accreditation action is appealed* for which the following applies:

- Accreditation Probation or Accreditation Withheld
 - 1. Commission decision is upheld by Reconsideration Panel: the effective date is the date of the initial accreditation action. This decision is final.
 - 2. Commission decision is reversed by Reconsideration Panel: the effective date is the date of the Reconsideration Panel decision
- Accreditation Withdrawn
 - Commission decision is upheld by Reconsideration Panel: the effective date is the date
 of the initial accreditation action unless a formal appeal is requested. In cases involving a
 formal appeal of the decision to withdraw accreditation, the program is considered
 accredited until completion of the appeal process.
 - 2. Commission decision is reversed by Reconsideration Panel: the effective date is the date of the Reconsideration Panel decision.
- Accreditation Withdrawn following Formal Appeals Panel Decision
 - 1. Commission decision is reversed by the Formal Appeals Panel: the effective date is the date of the Appeals Panel decision.
 - 2. Commission decision is upheld by the Formal Appeals Panel: the effective date is the date of the Appeals Panel decision.

^{*}See page 58-61 for Appeals process including: reconsideration and formal appeals Graphic: http://www.arc-pa.org/wp-content/uploads/2021/01/Appeal-Process-Graphic.pdf

Review/Revision History: 09.08.2012

Cross-referenced to: Policy 9.2 Accreditation Status

Page 1 of 1

9.6 Effective Date of Voluntary Withdrawal or Closure

When a program voluntarily surrenders its accreditation status, the date of the program's notification to the ARC-PA of this action becomes the effective date of withdrawal.

When a program voluntarily closes and no longer has students enrolled, the effective date of voluntary program closure is mutually determined by the program and the ARC-PA.

Category: Accreditation Related Initially Adopted: 01.01.01 Review/Revision History: Cross-referenced to: Page 1 of 1

9.7 Deferral of Accreditation Action

The ARC-PA may defer a decision on the accreditation status of a program until no later than the next regular meeting of the commission.

When a decision is deferred:

- the program retains its current accreditation status until a final decision is made
- the rationale for the deferral is communicated to the program

Initially Adopted: 01.01.01

Review/Revision History: 09.10.15; 06.2020, 6.25.2021

Cross-referenced to: Accreditation Manual

Page 1 of 2

10.1 Terminology used by the ARC-PA to convey accreditation related activity of the Commission

Accept

A term used in official ARC-PA correspondence, most often following the commission's review of a required report submitted by a program, communicating that the report was received favorably. This term does not imply that the program is compliant with the *Standards*. An additional report may be required.

Acknowledge

A term used in official ARC-PA correspondence, most often following the commission's review of correspondence from a program notifying the commission of a program change not requiring commission approval. The term is used to inform the program that the commission has received the report. Neither approval nor disapproval is implied.

Approve(d)

A term used in official ARC-PA correspondence, most often following the commission's review of correspondence from a program requesting a change requiring commission approval. The term is used to notify the program that the ARC-PA has given formal or official sanction to the change requested. By its nature, approval means that the program's action is in compliance with the *Standards*.

Citation

A formal statement referenced to a specific standard noting the area in which the program failed to provide evidence demonstrating that it meets the standard or performs so poorly in regard to the standard that the efforts of the program are found to be unacceptable.

Comprehensive Program Review Cycle

The maximum length of time between comprehensive commission review of a PA program is ten (10) years.

Findings

An explanation that often will accompany a citation in the accreditation letter or other correspondence dealing with program compliance with the *Standards*. The purpose of the "findings" is to clarify the issue of noncompliance with a specific standard for the program and not to specify "how to" comply with the cited standard.

General/Additional Comment(s)

Narrative that may be included in the accreditation letter or other correspondence with the program from the ARC-PA that may or may not be linked with a specific standard(s) that conveys a concern or expresses congratulatory comments. The purpose of the "Additional Comment(s)" is to clarify for the program a more global issue between the ARC-PA and the program but not to give advice or specify "how to" resolve the issue(s).

Initially Adopted: 01.01.01

Review/Revision History: 09.10.15; 06.2020 Cross-referenced to: Accreditation Manual

Page 2 of 2

Observation

A written statement by the site visit team notifying the ARC-PA and the program that the site visit team was unable to validate information provided in the materials as submitted by the program or that the

Reviewed and More Information Requested

A term used in official ARC-PA correspondence, most often following the commission's review of a required report or a request submitted by a program, communicating that the report was reviewed but more information is needed before the commission can provide a decision regarding the report or request.

Receive(d) as Information

A term used in official ARC-PA correspondence, most often following the commission's review of notification from a program of a change that is provided as a courtesy. The change notification is not officially required and unrelated to the *Standards*.

Initially Adopted: 01.01.01

Review/Revision History: 6.25.2021

Cross-referenced to:

Page 1 of 1

10.2 Warning Letter

If the ARC-PA finds a comprehensive program review or progress report deficient, it may choose to inform the program director that the ARC- PA has serious concerns about the quality of the program and that the program's future accreditation status may be in jeopardy. This "warning" is not considered an accreditation action, and therefore is not subject to appeal.

Initially Adopted: 01.01.01 Review/Revision History:

Cross-referenced to: Policy 5.5 Fair Practices in Educational Accreditation

Page 1 of 1

10.3 Notification of an Accreditation Action

The Executive Director of the ARC-PA notifies each program in writing of the accreditation action.

Notification of accreditation includes the action taken by the ARC-PA, the maximum number of students approved for the program (as indicated by the program on its application or as adjusted by the commission during its review of the program), information concerning any required reports, and the approximate time for the next commission review of the program.

Notification letters are addressed to the program designated senior institutional official of the sponsoring institution, and copies are emailed to the program director and the program director's immediate supervisor.

Initially Adopted: 01.01.01 Review/Revision History:

Cross-referenced to: Policy 5.5 Fair Practices in Educational Accreditation

Page 1 of 1

10.4 Public Notification of Program Accreditation Status by ARC-PA

The ARC-PA provides accurate information to the public about a program's accreditation status through electronic and print media and upon request or as required by law. Public information includes accreditation status, the date the program was first accredited, and the anticipated date of the next review for the program.

The accreditation status of each program is posted on the ARC-PA web site.

If the ARC-PA is requested or required to provide information to a third party regarding the accreditation status of a PA program that is pursuing an appeal, the ARC-PA informs those inquiring that the program's accreditation status remains as it was prior to the appeal. The ARC-PA does not indicate that an appeal has been filed but, depending on the nature of the request, may indicate that the commission has not completed its most recent review of the program. Further questions are referred to the program or its sponsoring institution.

Initially Adopted: 01.01.01

Review/Revision History: 09.07.12, 03.08.13, 09.06.13, 03.07.2020

Cross-referenced to: Policy 5.5 Fair Practices in Educational Accreditation; Standards A3.14a

Page 1 of 3

10.5 Public Notification of Accreditation Status to be by Accredited and Proposed Programs

To communicate the program's accreditation status in all printed and electronic media, the program and institution must use the following statement exactly as it is written.

10.5a Accreditation - Continued

The Accreditation Review Commission on Education for the Physician Assistant (ARC-PA) has granted **Accreditation - Continued** status to the (Program Name) sponsored by (Institution Name). Accreditation - Continued is an accreditation status granted when a currently accredited program is in compliance with the ARC-PA *Standards*.

Accreditation remains in effect until the program closes or withdraws from the accreditation process or until accreditation is withdrawn for failure to comply with the *Standards*. The approximate date for the next validation review of the program by the ARC-PA will be (Year). The review date is contingent upon continued compliance with the Accreditation *Standards* and ARC-PA policy.

10.5b Accreditation - Clinical Postgraduate Program

The Accreditation Review Commission on Education for the Physician Assistant (ARC-PA) has granted **Accreditation - Clinical Postgraduate Program** status to the (Program Name) sponsored by (Institution Name). This status is granted when a currently accredited program complies with the ARC-PA *Standards*.

Accreditation remains in effect until the program closes or withdraws from the accreditation process or until accreditation is withdrawn for failure to comply with the *Standards*. The approximate date for the next validation review of the program by the ARC-PA will be

10.5c Accreditation – Probation

At its meeting, the Accreditation Review Commission on Education for the Physician Assistant (ARC-PA) has placed the (Program Name) **Physician Assistant** program sponsored by (Institution Name) on Accreditation - **Probation** status until its next commission review in .

Probation is a temporary status of accreditation conferred when a program does not meet the Standards or when the capability of the program to provide an acceptable educational experience for its students is threatened.

Initially Adopted: 01.01.01

Review/Revision History: 09.07.12, 03.08.13, 09.06.13, 03.07.2020

Cross-referenced to: Policy 5.5 Fair Practices in Educational Accreditation; Standards A3.14a

Page 2 of 3

Once placed on probation, programs that still fail to comply with accreditation requirements in a timely manner, as specified by the ARC-PA, may be scheduled for a focused site visit and/or risk having their accreditation withdrawn.

Specific questions regarding the Program and its plans should be directed to the Program Director and/or the appropriate institutional official(s).

10.5d Accreditation - Provisional

The ARC-PA has granted **Accreditation - Provisional** status to the (Program Name) **Physician Assistant Program** sponsored by (institution Name)

Accreditation-Provisional is an accreditation status granted when the plans and resource allocation, if fully implemented as planned, of a proposed program that has not yet enrolled students appear to demonstrate the program's ability to meet the ARC-PA *Standards* or when a program holding Accreditation-Provisional status appears to demonstrate continued progress in complying with the *Standards* as it prepares for the graduation of the first class (cohort) of students.

Accreditation-Provisional does not ensure any subsequent accreditation status. It is limited to no more than five years from matriculation of the first class.

10.5e Provisional Applicant Program

<u>Name of Program</u> has applied for Accreditation - Provisional from the Accreditation Review Commission on Education for the Physician Assistant (ARC-PA). <u>Name of Program</u> anticipates matriculating its first class in <u>month and year</u>, pending achieving Accreditation - Provisional status at the *month and year* ARC-PA meeting.

Accreditation - Provisional is an accreditation status granted when the plans and resource allocation, if fully implemented as planned, of a proposed program that has not yet enrolled students appear to demonstrate the program's ability to meet the ARC-PA *Standards* or when a program holding accreditation-provisional status appears to demonstrate continued progress in complying with the *Standards* as it prepares for the graduation of the first class (cohort) of students.

Initially Adopted: 01.01.01

Review/Revision History: 09.07.12, 03.08.13, 09.06.13, 03.07.2020

Cross-referenced to: Policy 5.5 Fair Practices in Educational Accreditation; Standards A3.14a

Page 3 of 3

10.5 (Accreditation Withdrawn (Voluntary)

The **Physician Assistant** program sponsored by (Institution Name) has voluntarily withdrawn the Physician Assistant Program from the Accreditation Review Commission on Education for the Physician Assistant (ARC- PA) accreditation process, effective at a date to be determined. Click here to enter text. Because of the voluntary withdrawal status, the PA program will not admit another class and all admission activities will be suspended until further notice. Programs that close or voluntarily withdraw from the accreditation process lose their accreditation status and must begin the accreditation process again via the provisional accreditation pathway if they wish to pursue accreditation for a new PA program.

Initially Adopted: 01.01.01 Review/Revision History:

Cross-referenced to: Policy 5.5Fair Practices in Educational Accreditation; Accreditation Manual

Page 1 of 1

10.6 Disclosure of Probationary Status by ARC-PA

While Probation is a time-limited accreditation status, if not resolved it may have severe consequences for students and potential students. If the ARC-PA staff or representative receives a verbal, written or electronic inquiry regarding the status of a program currently on probation, the following information will be released:

- the fact that the program is accredited
- the fact that the program is on probation
- the definition of probation (that at its most recent review, the program was found not to be in compliance with the *Standards* or that the capability of the program to provide an acceptable educational experience for its students is threatened)
- the anticipated date of the next review for the program

Further questions are referred to the program or its sponsoring institution.

The accreditation status and history of each program is posted on the ARC-PA website.

Initially Adopted: 01.01.01 Review/Revision History:

Cross-referenced to: Policy 5.5 Fair Practices in Educational Accreditation; Standards A3.14a

Page 1 of 1

10.7 Student Notification of an Adverse Action

Programs must notify students and applicants in the case of adverse actions according to procedures defined in the ARC-PA Accreditation Letter sent to the sponsoring institution and program.

If a program fails to comply, the ARC-PA takes appropriate action to ensure that students are notified of the program's current accreditation status. Any costs incurred by the ARC-PA if the program fails to comply are billed to the program and the program may be subject to further accreditation action

Initially Adopted: 01.01.2001

Review/Revision History: 03.09.2002, 03.07.2008, 09.08.2012, 3.07.2015, 9.10.2017, 3.08.2018,

6.25.2021

Cross-referenced to: Policy 5.5 Fair Practices in Educational Accreditation; Accreditation Manual

Page 1 of 7

10.8 Accreditation Actions Subject to Appeal and Appeal Procedures

The following adverse actions by the ARC-PA are subject to appeal pursuant to the ARC-PA's Appeal Procedures.

- refusal to consider a program for initial provisional accreditation
- assignment of probationary status
- withholding of accreditation
- withdrawal of accreditation

Any appeal must be based upon the time and the circumstances that triggered the ARC-PA adverse action (e.g., a reaccreditation commission review, provisional application, required report, etc.) and shall be based solely on the information contained in the documents upon which the decision was based. Descriptions of program changes made since that time will not be considered.

Programs receiving an adverse action are notified in writing of their right to appeal at the time of their accreditation status notification.

Appeal Procedure

Note: In extenuating circumstances, the chair of the ARC-PA may adjust these procedures to insure a fair and impartial review.

Appeals Fee

Reconsideration Appeal before an Independent \$10,000* Appeals Panel \$40,000*

(In addition to other expenses as detailed

In the Appeals Procedure)

Accreditation Fees are to be mailed to:

Accreditation Review Commission on Education for the Physician Assistant Attn. Accreditation Services

3325 Paddocks Parkway, Suite 345

Suwanee, GA 30024

Accreditation Status, Public Release

If the ARC-PA is requested or required to provide information to a third party regarding the accreditation status of a PA program that is pursuing the appeal process, the ARC-PA shall advise those inquiring that the program's accreditation status remains as it was prior to the appeal.

^{*}Accreditation Fees: http://www.arc-pa.org/about/accreditation-fees/

Initially Adopted: 01.01.2001

Review/Revision History: 03.09.2002, 03.07.2008, 09.08.2012, 3.07.2015, 9.10.2017, 3.08.2018,

6.25.2021, 9.24.2022

Cross-referenced to: Policy 5.5 Fair Practices in Educational Accreditation; Accreditation Manual

Page 2 of 7

A. Notice of Appeal

If a PA program wishes to appeal the ARC-PA's adverse action, a written request for appeal must be received from the program by ARC-PA within **ten (10)** calendar days from the date of the written letter containing notice of the accreditation decision. The written Notice of Appeal along with payment of the Reconsideration Fee, which must include all documentation in support of the appeal, must be received by the ARC-PA Executive Director within **twenty (20)** calendar days from the date of the written request for appeal.

At a minimum, the Notice of Appeal is to include:

- A statement of the accreditation decision to be reviewed;
- A description of the modification or reversal sought by the program;
- A complete and concise description of any inaccurate, incomplete or erroneous fact(s), or incorrect interpretation of the *Standards*, on which the Program believes the decision was based;
- Pertinent detailed supporting documentation, and
- Any other relevant information the program wishes to have reviewed.

The Notice of Appeal must be no more than two hundred (200) pages total, using standard twelve (12) point font (Times New Roman or Calibri) and one (1) inch margins.

The program is to submit its written request for appeal and Notice of Appeal **electronically as an attachment to accreditationservices@arc-pa.org.**

If a written request for appeal is not received by the ARC-PA within ten (10) calendar days from the date of the letter notifying the institution and program of the adverse action, the initial adverse action by the ARC-PA shall constitute final action by the ARC-PA, effective immediately.

B. Reconsideration Panel

All Notices of Appeal are initially referred to a Reconsideration Panel consisting of three members to include two PA educators with ARC-PA experience and/or past commissioners. The panel will also include a public member*. The ARC-PA will develop a pool of 15-20 panelists per year for a term of two years with the potential to renew upon approval by the Executive Committee. Conflict of interest considerations would apply so panelists may not have any connection to the program being considered. A member of the Reconsideration Panel cannot have a conflict of interest with the program being considered, e.g., served as a consultant to the program.

*Definition of a public member: An individual qualified by education and/or experience in matters of accreditation who is not directly involved with the ARC-PA.

Initially Adopted: 01.01.2001

Review/Revision History: 03.09.2002, 03.07.2008, 09.08.2012, 3.07.2015, 9.10.2017, 3.08.2018,

6.25.2021, 9.24.2022

Cross-referenced to: Policy 5.5 Fair Practices in Educational Accreditation; Accreditation Manual

Page 3 of 7

No person shall be included on the Reconsideration Panel if they:

participated in the site visit that triggered the adverse action;

- was assigned to review recent site visit findings, required reports or other ARC-PA findings regarding that PA program on behalf of the ARC-PA; or
- has a conflict of interest as determined under the ARC-PA Conflict of Interest Guidelines.

The Reconsideration Panel will be provided with the following materials, which shall constitute the Review Record:

- a complete file of all documents concerning the program that were available to the ARC-PA and upon which the ARC-PA relied in making the decision that is the subject of the appeal;
- a copy of the Letter of Accreditation notifying the institution/program of the adverse action; and
- the Notice of Appeal.

The Reconsideration Panel members will consider the materials independently before discussing the program during an in-person meeting or via telecommunication. The Reconsideration Panel members may consult with ARC- PA staff regarding ARC-PA policy issues during the course of its review.

C. Deliberation of the Reconsideration Panel

In developing its decision, the Reconsideration Panel will give consideration to the Notice of Appeal, the particular facts or *Standards* at issue, as well as the existing ARC-PA policies. The Reconsideration Panel shall determine whether the ARC-PA's action is supported by the evidence, and whether the action was taken in accordance with the ARC-PA's policies and procedures.

D. Reconsideration Decision and Report

The Reconsideration Panel shall make one of the following decisions:

- Affirm the initial adverse action; or
- Modify or reverse the initial adverse action.

The Reconsideration Panel shall forward a written report of its decision, and the reasons therefore, to the ARC-PA Executive Director. The program will be notified of the Reconsideration Panel decision by the ARC-PA Executive Director.

If the adverse action being reconsidered is related to probation or refusal to consider a program for accreditation, the decision of the Reconsideration Panel is final and the appeal process is complete. The program may not request a Formal Appeal hearing by the ARC-PA.

Initially Adopted: 01.01.2001

Review/Revision History: 03.09.2002, 03.07.2008, 09.08.2012, 3.07.2015, 9.10.2017, 3.08.2018,

6.25.2021, 9.24.2022

Cross-referenced to: Policy 5.5 Fair Practices in Educational Accreditation; Accreditation Manual

Page 4 of 7

If the program remains dissatisfied with a decision relating to withdrawal of accreditation or withholding of accreditation, it may request a Formal Appeal hearing before the ARC-PA. The ARC-PA must receive a request for a Formal Appeal Hearing, in writing, within **ten (10)** calendar days from the date of the letter notifying the institution and program of the Review Panel decision.

E. Formal Appeal Before an Independent Appeals Panel

Formal Appeal Hearing Procedure

Note: In extenuating circumstances, the chair of the ARC-PA may adjust these procedures to insure a fair and impartial review.

If, after reconsideration by the Review Panel, the institution/program remains dissatisfied with a decision relating to withdrawal of accreditation or withholding of accreditation, it may request a Formal Appeal hearing before an independent Appeals Panel. Such a request must be received in writing by the ARC-PA within **ten (10) calendar days** from the date of the letter notifying the institution and program of the Review Panel decision.

The appeal shall be limited to the time and circumstances that triggered the ARC-PA action (e.g., a commission review, focused visit, required report, etc.) and shall be based solely on the information contained in the documents upon which the decision was based. Descriptions of changes made since that time will not be considered, except as expressly provided herein.

Initially Adopted: 01.01.2001

Review/Revision History: 03.09.2002, 03.07.2008, 09.08.2012, 3.07.2015, 9.10.2017, 3.08.2018,

6.25.2021

Cross-referenced to: Policy 5.5 Fair Practices in Educational Accreditation; Accreditation Manual

Page 5 of 7

(1) Panel Selection

The Appeals Panel shall be appointed by the ARC-PA Executive Director in consultation with the ARC-PA Chair and shall include individuals who are former ARC-PA commissioners or who otherwise meet the qualifications to serve on the ARC-PA, such as educator or practitioner status as defined by the ARC-PA. There will be three members of the Appeals Panel, including a representative of the public. The ARC-PA Executive Director shall notify the program of the identity of the members of the Appeals Panel prior to the Formal Appeal Hearing. The ARC-PA Executive Director in consultation with the ARC-PA Chair also shall identify an alternate member, who will be called upon to participate if an Appeals Panel member must be excused.

No person shall be included on an Appeals Panel for a given program if they:

- have participated in a commission review process visit that triggered the adverse action;
- reviewed recent commission review process findings, status reports, or other ARC-PA findings or conclusions regarding that program on behalf of the ARC-PA; or
- have a conflict of interest as determined under the ARC-PA Conflict of Interest Guidelines.

Once the Appeals Panel has been established, neither the sponsoring institution/program nor any member of the ARC-PA shall contact any member of the Appeals Panel concerning the substance of the matter under appeal.

Category: Accreditation Status/Standing

Initially Adopted: 01.01.2001

Review/Revision History: 03.09.2002, 03.07.2008, 09.08.2012, 3.07.2015, 9.10.2017, 3.08.2018,

6.25.2021

Cross-referenced to: Policy 5.5 Fair Practices in Educational Accreditation; Accreditation Manual

Page 5 of 7

(2) Timing of and Representation at the Appeals Panel Hearing

The ARC-PA shall notify the institution/program in writing of the date, time and place of the hearing, which may be held virtually. The notice shall be provided at least forty-five (45) calendar days prior to the hearing. The notice shall advise the institution/program that it:

- may send/include representatives to appear before the Appeals Panel, including up to five (5) representatives who may speak on behalf of the program during the Formal Appeal Hearing;
- may be represented by legal counsel; and
- may submit a written Notice of Final Appeal in response to the ARC-PA's cited areas of noncompliance.

The Notice of Final Appeal must contain a concise statement of why the institution believes that the adverse action was based on procedural error that materially affected the outcome of the accreditation review process or why the institution believes that the adverse action was arbitrary and capricious. The Notice of Final Appeal also must contain the names of the institution/program representatives and legal counsel, if any, who will attend the hearing. The Notice of Final Appeal must be received by the ARC-PA no later than twenty-one (21) calendar days before the hearing date and is limited to no more than one hundred (100) pages total using standard twelve (12) point font (Times New Roman or Calibri) and one (1) inch margins.

If the institution/program fails to appear without good cause or fails to notify the ARC-PA at least twenty- one (21) calendar days before the scheduled date of the hearing that it will not appear, the Appeals Panel may make its decision based on the information before it with no further opportunity for an appearance by the institution. Any out-of-pocket expenses, excluding legal expenses, incurred by the ARC-PA as a result of the program's failure to appear or to cancel the hearing will be billed to the institution/program.

During the hearing, the ARC-PA will be represented by the ARC-PA chair or commissioner designee, the Executive Director or staff designee, and legal counsel.

(3) <u>Information Provided to the Appeals Panel</u>

The ARC-PA Executive Director shall forward to each member of the Appeals Panel the following materials, which shall constitute the Appeal Record:

- a complete file of all documents concerning the program that were available to the ARC-PA and upon which the ARC-PA relied in making the decision that is the subject of the appeal;
- a copy of the Letter of Accreditation notifying the institution/program of the adverse action;
- a copy of the institution/program Notice of Appeal and Notice of Final Appeal; and

a copy of the letter containing the results of the reconsideration by the Review Panel.

No new information will be presented to or will be considered by the Appeals Panel; provided that information may be considered by the Appeals Panel if the following criteria are met:

• the adverse action that is the subject of appeal was based solely upon a failure by the institution/program to meet an accreditation standard pertaining to finances.

Initially Adopted: 01.01.2001

Review/Revision History: 03.09.2002, 03.07.2008, 09.08.2012, 3.07.2015, 9.10.2017, 3.08.2018,

6.25.2021

Cross-referenced to: Policy 5.5 Fair Practices in Educational Accreditation; Accreditation Manual

Page 6 of 7

(4) <u>Decision of the Appeals Panel</u>

At the conclusion of the hearing, the Appeals Panel shall meet in executive session to review the proceedings and to reach a decision. The Appeals Panel shall consider the Appeal Record and the information presented during the hearing. The Appeals Panel shall determine, by majority of those members present, whether the institution/program has demonstrated by the presentation of substantial evidence that the ARC-PA findings of noncompliance with each of the cited areas of accreditation standards should be overturned, and whether the adverse action should be affirmed, modified or reversed.

The Appeals Panel shall make one of the following decisions:

- affirm the adverse action, or
- reverse or modify the adverse action.

The Appeals Panel shall provide the ARC-PA with a written report of the Appeals Panel decision and the reasons therefore, and specific implementation instructions for the ARC-PA, if any.

The Appeals Panel process, including the filing of the report with the ARC-PA, shall be completed within ninety (90) calendar days from the date that the institution/program files its Notice of Final Appeal, and shall constitute the final decision on the matter.

(5) <u>ARC-PA Implementation of the Appeals Panel Decision</u>

The ARC-PA shall act in a manner consistent with the Appeals Panel decision.

The ARC-PA Executive Director shall notify the institution/program in writing of the Appeals Panel decision, and the action taken by the ARC-PA to implement such decision, including the reasons therefore, within thirty (30) calendar days after receipt of the written report of the Appeals Panel.

Initially Adopted: 01.01.2001

Review/Revision History: 03.09.2002, 03.07.2008, 09.08.2012, 3.07.2015, 9.10.2017, 3.08.2018 Cross-referenced to: Policy 5.5 Fair Practices in Educational Accreditation; Accreditation Manual

Page 7 of 7

(6) <u>Costs of Formal Appeal</u>

The costs of the Formal Appeal shall be allocated in the following manner:

- the institution/program shall submit payment of the Appeal Fee;
- the ARC-PA shall bear all of the administrative and meeting costs for the Formal Appeal, including the costs of the hearing;
- the institution/program shall bear all of the costs involved in the development and presentation of its appeal, as well as the travel and other expenses of its representatives present at any hearing; and
- the ARC-PA shall bear the cost of transcribing the hearing. The institution/program shall be required to pay for any copies of the transcript if it desires.

(7) Notice and Filings with the ARC-PA and or Executive Director

Whenever, under any of the provisions of this procedure, there is a requirement for a written notice, request, or other writing to be submitted to the ARC-PA, said writing (e-mail attachment is acceptable) shall be addressed to the following:

Executive Director

Accreditation Review Commission on Education for the Physician Assistant
3325 Paddocks Parkway, Suite 345

Suwanee, GA 30024

accreditationservices@arc-pa.org

Initially Adopted: 01.01.01

Review/Revision History: 09.04.2004, 03.05.2011

Cross-referenced to:

Page 1 of 1

10.9 Modification of Commission Action

Circumstances may arise that cause the ARC-PA to modify an accreditation action. This may occur before or after written notification of official ARC-PA action has been conveyed to the program. Such modification shall occur no later than 30 days after written notification. As appropriate, the program will be included in timely correspondence.

Depending upon the nature of the proposed modification, the Chair or designee will determine the procedure to be used in addressing the proposed modification.

A modification cannot result in an assignment of a more severe adverse action.

Any changes to accreditation actions made outside of a regular meeting will be conveyed in writing to the commissioners. Modifications will be noted in an addendum to the minutes of the appropriate commission meeting.

Initially Adopted: 04.24.2009

Review/Revision History: 09.10.2010, 03.05.2011, 03.08.13, 09.06.14

Cross-referenced to:

Page 1 of 2

10.10 Expedited Review

In an effort to provide timely review and notification back to the program and streamline the workload of the commission, certain reports and program change requests requiring commission review may be considered in an Expedited Review process.

The following program changes may be reviewed in an expedited manner:

- changes in faculty
- · change in degree
- · change in program length
- change in graduation requirements
- · transfer of program sponsorship
- · increase in student capacity

The following required documents /reports addressing the following areas may be reviewed in an expedited manner:

- curriculum vitae
- announcement and advertisements
- syllabus or course objectives
- proof of faculty or staff hire
- adequacy of clinical experiences
- curriculum issue(s)
- response to citation(s)
- PANCE results

The following program change(s) is /are not eligible for expedited review:

expansion to a distant campus

Results of all expedited reviews are reported at the next commission meeting.

The commission, Executive Director or commission chair may request that a program change or required report be reviewed in an expedited manner. The information provided for review must be succinct and sufficiently detailed to allow the reviewer to render a decision without the need for additional information.

Category: Accreditation Status/Standing

Initially Adopted: 04.24.2009

Review/Revision History: 09.10.2010, 03.05.2011, 03.08.13, 09.06.14

Cross-referenced to:

Page 2 of 2

The Executive Director assigns each file that qualifies for expedited review to a commissioner or in some cases, staff for review.

The reviewer may take one of several actions based on the type of report/change request being reviewed in an expedited manner:

- Accept / Do Not Accept report
- Acknowledge change /report
- Approve / Do Not Approve change
- Receive as information

If the reviewer believes the materials submitted by the program are unclear or if the reviewer is not able to render a decision without additional information, the report/change request may be referred to the full commission for review and decision. Depending upon the timing of the review, additional information may be requested prior to the report/change request being referred to the commission.

The reviewer can request a follow-up report if there is a belief that an issue(s) would benefit from continued monitoring by the commission.

11. Category: Program Specific

Initially Adopted: 03.09.2012

Review/Revision History: 03.07.2014

Cross-referenced to: Standards Section E1.08, Accreditation Manual

Page 1 of 1

11.1 Eligibility for expansion to a distant campus

A program holding the status of Accreditation – Continued must maintain five consecutive years of Accreditation – Continued status before the ARC-PA will consider an application for expansion to a distant campus.

A program with the status of Accreditation – Probation is not eligible to request expansion to a distant campus.

A program must have outcomes from the first cohort of students at any distant campus before applying to expand to another distant campus.

Review/Revision History: 03.07.2014, 09.12.2015, 09.10.2016, 6.25.2021, 9.24.2022

Cross-referenced to: Standards Section E1.09e, Accreditation Manual

Page 1 of 1

11.2 Eligibility for submission of application for increase in class size

Programs must hold the status of Accreditation - Continued to be eligible to request an increase in class size.

If eligible, a program may request consideration for an increase in maximum entering class size. If such a request is approved by the commission, the commission will not consider an application to increase class size or expand to a distant campus for four years after the most recent increase, and unless the program has maintained Accreditation - Continued status without an adverse accreditation action throughout the entire period.

A program holding the status of Accreditation – Continued

- Must maintain five consecutive years of Accreditation Continued status before the ARC-PA will consider a request for an increase in maximum entering class size.
- Must maintain four consecutive years of Accreditation Continued status from the date
 of the last approved class size increase before the ARC-PA will consider a request for an
 increase in maximum entering class size.
- Must maintain two years of Accreditation Continued status after completing the
 provisional pathway, without an approved incremental class size increase while holding
 accreditation- provisional status, before the ARC-PA will consider a request for an
 increase in maximum entering class size.
- Must maintain five years of Accreditation Continued status after completing the
 provisional pathway, if the program was approved for incremental class size increases
 while holding accreditation provisional-status, before the ARC-PA will consider a
 request for an increase in maximum entering class size.

Postgraduate Programs must hold an accreditation status (Provisional or Accreditation – Continued) to be eligible to request an increase in class size or a change in the numbers of cohorts entering in a given year. ARC-PA must approve the request prior to changes in numbers of Postgraduate fellows. These requests will be reviewed on an expedited basis.

Review/Revision History: 6.25.2021

Cross-referenced to: Standards Section C1.01, Accreditation Manual Page 1 of 1

11.3 Program Self-Assessment

The ARC-PA embraces the self-assessment process as an integral part of accreditation.

It is important for programs to have a robust and systematic process of ongoing self-assessment to review the quality and effectiveness of their educational practices, policies and outcomes.

This process should be conducted within the context of the mission and goals of both the sponsoring institution and the program, using the *Accreditation Standards for Physician Assistant Education* (Standards) as the point of reference.

A well-developed process occurs throughout the academic year and across all phases of the program. It critically assesses all aspects of the program relating to sponsorship, resources, students, operational policies, curriculum and clinical sites.

The process is used to identify strengths and weaknesses and should lead to the development of plans for corrective intervention with subsequent evaluation of the effects of the interventions.

A robust and effective program self-assessment process can identify strengths and weaknesses and lead to corrective actions with resultant positive outcomes supporting successful student outcomes.

Review/Revision History: 03.05.2011

Cross-referenced to: Accreditation Manual, Standards Section E1.03

Page 1 of 1

11.4 Required Reports

A program may be required to submit report(s):

- indicating the manner in which the citations have been addressed/resolved and details about how the program plans to assure compliance in the future
- describing the results of efforts at corrections that were in progress at the time of the site visit/commission review
- providing additional information in follow-up to a previously submitted report or approved substantive program change
- providing information required by the Standards
- providing data as required for the Program Required Annual Report to the ARC-PA or other documents or data as requested via the ARC-PA Program Management Portal

The ARC-PA specifies the information to be provided and a specific due date for the report.

Review/Revision History: 03.05.2011, 6.25.2021, 3.3.2022

Cross-referenced to: Standard E

Page 1 of 1

11.5 Noncompliance with Accreditation Actions or Procedures

The ARC-PA may withhold or withdraw accreditation of a program, regardless of its current accreditation status, under any of the following circumstances:

- The program is determined no longer to be in compliance with the Standards
- The program is no longer capable of providing an acceptable educational experience for its students, or when the program has failed to comply with ARC-PA accreditation requirements, actions or procedures.
- The program director/institution refuses to comply with one or more ARC-PA accreditation actions or procedures, including refusal to:
 - a) Undergo a site visit.
 - b) Follow directives associated with an accreditation action.
 - c) Supply the ARC-PA with requested information.
- The program has submitted falsified information to the ARC-PA.
- The program has demonstrated grossly unethical business or educational practices
- A physician assistant program has been inactive, see <u>inactive status Policy 10.8</u>.
- A clinical postgraduate program has been inactive for more than three years.
- The program has sustained a catastrophic loss or complete change of resources, e.g., faculty, facilities or funding, such that the program no longer complies with the Standards.
- An applicant program may also be withdrawn from an agenda for a failure to comply with accreditation actions or procedures as noted above

Review/Revision History: 03.05.2011 Cross-referenced to: Standard A1.03h

Page 1 of 1

11.6 Curriculum Teach Out

Programs no longer accredited but which remain actively engaged in delivering the curriculum to currently matriculated students are expected to teach out the curriculum in accordance with the *Standards* or to assist students in transferring to another ARC-PA accredited program in which they can continue their education.

Category: Program Specific Initially Adopted: 01.01.2001 Review/Revision History:

Cross-referenced to: Standards Section E1.10

Page 1 of 1

11.7 Transfer of Sponsorship

The sponsoring institution must inform the ARC-PA in writing using ARC-PA designed forms, of the intent to transfer program sponsorship as soon as it begins considering transfer.

Transferring sponsorship of a PA program accredited by the ARC-PA is initiated at the request of the chief executive officer or designated representative of the institution sponsoring the currently accredited PA program.

Transferring sponsorship of a program to an institution with or without a currently accredited PA program requires that the program being transferred remain in compliance with accreditation standards before, during and after the transfer period. Completion of the "Request to Transfer Sponsorship" is required Additional documentation maybe requested and a focused visit may be required before transfer of sponsorship is approved.

Transfer of sponsorship may result in a reconsideration of the program's accreditation status or an earlier scheduling of the next site visit for the program requesting transfer of sponsorship.

Category: Program Specific Initially Adopted: 01.01.2001 Review/Revision History: 09.06.13

Cross-referenced to: Policy 9.2 Accreditation Status

Page 1 of 1

11.8 Voluntary Inactive Status

Voluntary Inactive is a status that may be awarded to programs that temporarily suspend instruction and cease to matriculate students. Programs holding an adverse accreditation status will not be awarded voluntary inactive status.

Programs awarded inactive status must abide by the following:

- Programs may not matriculate, enroll or instruct students while on inactive status.
- The date of the next scheduled site visit is not changed due to inactive status, unless such change is requested in advance by the program and approved by the ARC-PA.
- Programs on inactive status are required to pay all ARC-PA designated dues and fees for accreditation services.
- Programs on inactive status are required to complete all required reports, including the ARC-PA annual program report.
- Inactive status is limited to one year for physician assistant programs and three years for clinical
 postgraduate programs, after which accreditation of the program may be withdrawn by the
 ARC- PA or voluntarily surrendered by the program.

Programs requesting to become active within the one- or three-year period will be evaluated on a case-by- case basis by the ARC-PA. The program may be scheduled for a validation visit or focused visit or may be required to reenter the accreditation process via the provisional (for physician assistant programs) or initial (for clinical postgraduate programs) accreditation pathway.

Review/Revision History: 9.24.2022 Cross-referenced to: Standard E 11

Page 1 of 1

11.9 Delinquency of Payment of Fees Assessed to Programs

Programs that are delinquent in payment of any fees (7.3 Fees) are not eligible for provisional or continued accreditation review and will be immediately placed on "administrative probation" until the fees have been paid.

The status of "administrative probation" would begin when the deadline for payment of fees has passed. Programs have 30 (thirty) days from the time of notification of administrative probation to pay assessed fees, including late fees.

The program may be subject to withdrawal of accreditation if annual and any late fees have not been paid within sixty days of notification of delinquency.

Initially Adopted: 01.01.2001

Review/Revision History: 03.05.2011, 09.08.2012, 03.08.13, 09.10.2016, 6.25.2021

Cross-referenced to:

Accreditation Manual Page 1 of 3

12.1 Applications, Types of Site Visits and Related Processes

Applications

The application and supporting materials specific to each type of program review must be submitted on or before the deadline identified by the ARC-PA and communicated to the program. The application must include all appendices as required and be completed according to the instructions provided. Failure to complete all components of the application exactly as instructed (narratives, templates, program-created documents, etc.) and/or failure to submit a complete application as required in the instructions may result in one or more of the following actions by the ARC-PA:

- removal of the program from the current ARC-PA agenda (all types of program applications)
- requirement for application resubmission (all types of program applications)
- placement of the program on administrative probation (applies only to continuing, provisional monitoring, final provisional, focused (including probation), and distant campus applications or reviews)
- reconsideration of the program's current accreditation status (applies only to continuing, provisional monitoring, final provisional, focused (including probation), and distant campus applications or reviews)
- forfeiture of all previously paid accreditation fees (provisional application only)

Additionally, programs may request a (one-time) agenda change and pay the agenda change fee. If another agenda change is requested, the program will be removed from the agenda and forfeit all previously paid fees. Re-entry into the application process would require the program to pay all current fees.

Determination of the appropriate action(s) is solely at the discretion of the ARC-PA and is not subject to appeal.

Validation Visit

A Validation Visit is conducted to programs with 'Accreditation – Continued' status. Such visits are scheduled at the direction of the commission to review the program's compliance with the *Standards* and the annually required information submitted by programs via the portal. The visits also examine the program's demonstration of continuous oversight of processes and outcomes of education. An application is required with each validation visit. Programs with distant campus sites may have site visitors also at the distant site(s). The site visit team submits a report of the visit in the form of "observations" to which the program is offered an opportunity to respond.

Initially Adopted: 01.01.2001

Review/Revision History: 03.05.2011, 09.08.2012, 03.08.13, 09.10.2016, 6.25.2021

Cross-referenced to:

Accreditation Manual Page 2 of 3

Focused Visit

A Focused visit may be conducted at any time to evaluate specific program/institution *Standards* compliance issue(s). Details about requirements for the focused visit are conveyed to the program in writing prior to the visit. Focused visits usually are conducted by specialist visitor(s), who must include commissioner(s) of the ARC-PA or ARC-PA staff. The site visit team submits a report of the visit in the form of "observations" to which the program is offered an opportunity to respond.

The ARC-PA retains the right to meet with faculty and students or pursue other issues that may surface during the course of the visit that are relevant to compliance with the *Standards*.

Provisional Visits

- An initial provisional site visit is conducted to a new developing program that is within six to 12 months of matriculation of students. This visit verifies an institution's ability to begin a program in compliance with the Standards, and the program's readiness to matriculate students. An application is required with the initial provisional visit. The site visit team submits a report of the visit in the form of "observations" to which the program is offered an opportunity to respond.
- A provisional monitoring visit is conducted within six months of graduation of the first
 cohort of students. This visit verifies the sponsoring institution's and provisionally
 accredited program's progress in delivering the program in compliance with the
 Standards and their ability to continue to do so. An application is required with the
 provisional monitoring visit. The site visit team submits a report of the visit in the form of
 "observations" to which the program is offered an opportunity to respond.
- A final provisional visit is conducted 18-24 months following the second provisional review by the commission. This visit verifies the institution's and program's demonstration of compliance with the *Standards* including their ability to incorporate and report the findings of a robust self- assessment process as required by the ARC-PA. An application is required with the final provisional visit. The site visit team submits a report of the visit in the form of "observations" to which the program is offered an opportunity to respond.

Initially Adopted: 01.01.2001

Review/Revision History: 03.05.2011, 09.08.2012, 03.08.13, 09.10.2016

Cross-referenced to:

Accreditation Manual Page 3 of 3

Provisional Accreditation Process

The provisional accreditation process begins with a determination of institutional eligibility to sponsor a program. The process continues with a series of three site visits, with subsequent commission reviews following each visit. An application is required with each provisional visit. With each visit, the site visit team submits a report of the visit in the form of "observations" to which the program is offered the opportunity to respond.

After the initial provisional site visit and commission review, the program is eligible for an accreditation status of Accreditation - Provisional.

- two months before students begin the program, the program must update the personnel section of the program management portal to reflect current program faculty
- three months before students begin their clinical rotations, the program must update the SCPE tab of the Program Management Portal

After the provisional monitoring site visit and commission review, the program is eligible to continue its accreditation status of Accreditation - Provisional. After the final provisional site visit, the program is eligible for the status of Accreditation - Continued.

Programs in the provisional process are not eligible to <u>expand to a distant campus</u> or <u>request an increase in class size</u> until two years after completing the Accreditation - Provisional process and having obtained Accreditation - Continued status, without an adverse accreditation action during that period of time.

Distant Campus Expansion Visit

A Distant campus expansion visit is conducted for a program with Accreditation - Continued status that is applying to expand to a distant campus location. The visit is conducted at the site(s) of the proposed campus and is made at the direction of the commission. The visit may involve a concurrent visit to the main program campus. The site visit team submits a report of the visit in the form of "observations" for which the program is offered the opportunity to respond.

Clinical Postgraduate Visit

A Clinical Postgraduate Visit is conducted for clinical postgraduate PA programs entering the accreditation process or already holding the status of accreditation-clinical postgraduate program. The visit is conducted by a visitor selected from a pool of visitors specifically prepared to conduct visits to clinical postgraduate programs. The visitor may be an ARC-PA commissioner or staff member. A report of the visit is submitted to the ARC-PA in the form of "observations" for which the program is offered the opportunity to respond.

Initially Adopted: 01.01.2001

Review/Revision History: 03.05.2011 Cross-referenced to: ARC-PA Site Visitor

Responsibilities Page 1 of 1

12.2 Site Visit Team Selection

The ARC-PA:

- is solely responsible for site visitor selection and assignment
- recruits and prepares site visitors
- provides ongoing required site visitor development
- inquires about, evaluates and resolves real and potential conflicts of interest of those scheduled to participate in site visits
- defines team membership and responsibilities
- evaluates site visitor performance through data collection and analysis
- provides performance feedback and suggestions for improvement to site visitors

Initially Adopted: 01.01.2001

Review/Revision History: 03.05.2011, 09.08.2012, 6.25.2021, 9.24.2022

Cross-referenced to: Site Visitor Binder Materials

Page 1 of 1

12.3 Site Visit Process

The ARC-PA defines, publishes and distributes documents that detail the activities and procedures associated with the site visit.⁴

The application submitted by the program to the ARC-PA office is considered the program's application of record.⁵

The only individual(s) that may be present at meetings occurring during the site visit to the PA program are institutional and program administrators, faculty and students, as may be determined by the site visit team chair.

The chair is solely responsible for the final decision regarding individuals to be interviewed and who may be present during the interviews.

The use of any recording device by the program during any portion of the site visit is strictly prohibited.

Virtual vs In-person Site Visit Policy

Initial provisional site visits will be conducted virtually.

Provisional monitoring, final provisional, and continued visits will be conducted in-person.

The format of focused visits and probation visits will be at the discretion of the Commission. If probation status is extended, an in-person visit will be required.

Virtual site visits, hosted by ARC-PA staff and Site Visitors, are held on Zoom (or other ARC-PA approved) platform and can be held in place of in person onsite visits, when deemed appropriate and the sponsoring institution agrees to participate in a virtual site visit as documented by a Virtual Site Visit Agreement. Further information about virtual site visits, including the Virtual Site Visit Protocol, can be found at: http://www.arc-pa.org/vsv/

⁴ If any questions regarding these requirements, the program must communicate them to the ARC-PA Executive Director and site visit team chair as soon as possible and, in any event, **prior to** the visit.

⁵ It is one component of the official program record used by the commission throughout the accreditation review process. Site visitors have been instructed not to accept any new or revised application materials from the program at the time of the visit. If, during the process of the visit, the site visitors suggest additional information or materials be submitted to the ARC-PA office, these materials should be sent with the program's response to observations.

Category: Site Visit Policy Acknowledgement

Initially Adopted: 6.25.2021 Review/Revision History:

Cross-referenced to: Accreditation Manual

Page 1 of 1

12.4 Survey Response Policy Acknowledgement

It is the policy of ARC-PA to accept responses to the Post Site Visit Questionnaire from applicant or accredited PA programs visited by ARC-PA site visitors no more than seven (7) days following the final day of the site visit to which the survey applies. Any submission of this survey after that designated collection period will not be acknowledged.

Initially Adopted: 01.01.2001

Review/Revision History: 03.05.2011, 09.08.2012

Cross-referenced to: Accreditation Manual

Page 1 of 1

12.5 Site Visit Report Structure

The report from the site visit team consists only of observations.

Each observation must be referenced to a Standard.

Each observation is the site visit team's opportunity to alert the ARC-PA that the program failed, in writing or in person, to demonstrate compliance with the *Standards*, or that the team was unable to validate information provided in the materials as submitted by the program.

Initially Adopted: 01.01.2001

Review/Revision History: 03.05.2011 Cross-referenced to: Accreditation Manual

Page 1 of 1

12.6 Program Response to Observations

ARC-PA actively seeks program response(s) to the site visit observation(s).